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# CONSENSUS

# Mexican breast cancer consensus. Management of early breast cancer

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# Abstract

Breast cancer, in early stages, has specific surgical, radiotherapy, and systemic management. This type of cancer includes ductal carcinoma in situ and breast cancers in stages I, IIA, IIB, and IIIA. This tenth update of the Mexican Breast Cancer Consensus addressed the management of early stages. The dissemination of this consensus contributes to the updating and homogeneity of breast cancer management criteria. This article aims to present the update in the multidisciplinary management of breast cancer.

Keywords: Breast cancer. Early stage. Consensus.

# Consenso mexicano de cáncer mamario. Manejo del cáncer de mama temprano

# Resumen

El cáncer mamario en estadios tempranos tiene un manejo local (quirúrgico y radioterapia) y sistémico específico. Este tipo de cáncer incluye el carcinoma ductal in situ y los cánceres de mama en estadios I, IIA, IIB y IIIA. Esta décima actualización del Consenso Mexicano de Cáncer Mamario abordó el manejo de los estadios tempranos. La difusión de este consenso contribuye a la actualización y homogeneidad de criterios de manejo del cáncer mamario y el objetivo de este artículo es presentar la actualización en el manejo multidisciplinario del cáncer de mama.

Palabras clave: Cáncer de mama. Estadios tempranos. Consenso.

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# Primary surgical management of breast cancer

Primary surgical management is indicated for those patients with early breast cancer. It can be carried out with breast-conserving surgery (BCS) or total mastectomy, regardless of axillary surgical management. It should be followed by adjuvant therapies, as indicated. As in other clinical scenarios, evaluation of each case by multidisciplinary teams is recommended. The strategy of performing excisional biopsies with intraoperative study of a breast lesion suspicious by clinical and imaging findings and, in case of malignancy, the strategy of performing a modified radical mastectomy should be abandoned. Currently, a histopathological study with tumor immunohistochemistry must be obtained before the treatment.

# Perioperative pain management with analgesia and regional anesthesia

Currently, implementation of ultrasound-guided regional chest wall blocks is necessary as part of postoperative pain multimodal management<sup>1</sup>. Knowledge of these techniques, as well as of regional anatomy. is crucial for anesthesiologists, since there is level-A scientific evidence that recommends the use of regional techniques for breast surgery, as a complement of general anesthesia and, in some cases, as anesthetic technique plus sedation. For multiple reasons, among which acute postoperative pain treatment improvement, lower incidence of chronic pain, post-surgical rehabilitation improvement, and a decrease in pulmonary complications stand out and, with special relevance, although still with no conclusive studies on their association with a lower incidence of relapse/recurrence, regional analgesic techniques are being increasingly used in oncological surgery<sup>2,3</sup>.

For their application, the fact that it is essential to know the expected postoperative pain should be taken into account, according to the scheduled intervention (primary tumor resection region, as well as the type of procedure in the axillary region), in addition to patient inherent factors (pre-existing pain, pain catastrophizing, age, medication, and concomitant diseases), as well as previous cancer treatment (systemic and regional), to individualize the best multimodal analgesic strategy.

In case of a breast surgical intervention that involves pectoral muscles, performing a PEC I block is indicated. When the axillary region is involved, there is satisfactory evidence with the use of PEC II<sup>4,5</sup>. For external

quadrants surgery, there is the serratus intercostal plane block. For internal quadrants, the most appropriate option is the pecto-intercostal fascial block<sup>6,7</sup>. In case the surgical approach includes the nipple-areola complex (NAC) with or without sentinel lymph node (SLN), we could perform a block of the lateral branches of the intercostal nerves in the middle axillary line (BRILMA)<sup>8,9</sup>. The latter, as a complementary part of regional anesthesia, which reduces opioid consumption and intraoperative anesthetic requirements. Paravertebral block and intercostal nerve block are the only procedures regarded as anesthetic techniques plus sedation. Paravertebral block continues to be the reference method in the case of radical mastectomies, either as a single anesthetic technique or in combination with general anesthesia<sup>10,11</sup>.

Most of the above-mentioned techniques are interfascial, ultrasound-guided, and easy to learn; however, anatomical knowledge should not be obviated to achieve identification of the structures by ultrasonography, as well as acquisition of skills for the management of the needle-transducer binomial, for correct visualization of the needle and the local anesthetic at all times<sup>9,12</sup>.

The possible complications resulting from ultrasound-guided blocks for breast surgery are divided into two groups: those common to the performance of a nerve block and those specific to the thoracic location. Within the first group, toxicity due to local anesthetics should be highlighted due to an important vascularization of the area, with arterial vessels, such as the thoracoacromial artery at the infraclavicular level, the internal mammary arteries at the parasternal level or the intercostal vessels, and within the latter, pneumothorax should be taken into account, due to proximity to the pleura<sup>12</sup>.

# BCS

BCS is the complete excision of the primary tumor with a negative pathological margin. Most cases must be complemented with adjuvant radiotherapy (RT), and it is the standard treatment at early stages<sup>13</sup>.

At these stages, BCS and adjuvant RT have demonstrated similar results in terms of locoregional recurrence and overall survival (OS) in comparison with mastectomy<sup>14-17</sup>.

Although multicentricity was considered a contraindication to the performance of BCS, the use of oncoplastic techniques allows the excision of lesions at different quadrants, with evidence of their safety in terms of local recurrence having recently been published<sup>18</sup>; in consequence, it is reasonable to try to preserve the aland even in cases of multicentricity, as long as the surgeon guarantees excision of the lesions without compromising the margins and/or the esthetic result. Using magnetic resonance imaging (MRI) is recommended as preoperative imaging modality. Patients who are candidates for this type of management are those who meet certain characteristics: primary surgical management, a maximum of three foci in the same breast and separation of  $\geq$  2 cm between them, 40 or more vears of age, and cN0 or cN1 disease. It is essential to have histopathological examination and immunohistochemistry of all tumors. Radiopaque marking of the surgical bed of all excised lesions will be necessary; of note, in the ACOSOG Z11102 project (Alliance), good tolerance of the gland was documented when more than one surgical bed was irradiated with RT boost<sup>19</sup>.

The objective is to obtain negative margins in the pathology examination with a satisfactory esthetic result, which can be accomplished by simple resections or using oncoplastic techniques. The surgical specimen should always be oriented and marked for recognition by the pathologist. The margins can be stained with different colors of Indian ink<sup>20</sup> or each margin be marked with staples or sutures. It is recommended for the surgeon to be the one to carry out this marking procedure and for hospital centers to standardize the handling of the surgical specimen. A negative margin for invasive cancer involves the absence of neoplastic cells at inked margin<sup>21</sup>. In case of positive margins, they should be widened. The surgical bed should be marked with radiopaque clips for future localization (RT and surveillance).

The current oncoplastic techniques allow mobilization of a larger proportion of breast tissue, thus obtaining a better esthetic result, without conferring a higher risk of conversion to mastectomy in case of requiring re-excisions<sup>22,23</sup>.

# Mastectomy

# Types of mastectomies

- Simple or total.
- Skin sparing.
- NAC sparing.
- Modified radical.
- Radical.

It is important for patients to be informed about the techniques and possibilities of reconstruction, as well as the times they can be carried out at.

# Indications for mastectomy

- Patient preference.
- Multicentric disease with no possibility of free margins.
- Impossibility to obtain free margins.
- Unfavorable breast-tumor ratio for a good esthetic result.
- Difficulty for appropriate follow-up<sup>24-27</sup>.

# **Oncoplastic surgery**

BCS followed by RT has shown similar results in terms of locoregional control and OS in comparison with radical surgery<sup>13-17,28</sup> and is the treatment of choice at early stages<sup>28,29</sup>.

As in other clinical scenarios, evaluation of each individual case by multidisciplinary teams is recommended.

BCS pursues two purposes: local control of the disease and a satisfactory esthetic result<sup>30</sup>.

There are risk factors that increase esthetic deterioration in BCS<sup>31-33</sup>:

- Resection of more than 20% of breast volume in the lateral or central quadrants.
- Resection larger than 10% in the lower and medial quadrants.
- Postoperative RT effects.
- Deformities do occur (Table 1)<sup>33</sup>.

When the goal to obtain negative surgical margins with a satisfactory esthetic result cannot be achieved by simple resections, oncoplastic techniques are resorted to.

Oncoplastic surgery has emerged as a new approach to enable BCS and optimize subsequent irradiation. It is based on integrating plastic surgery techniques for remodeling after extensive excision for breast cancer. It allows large volumes to be resected and a larger proportion of breast tissue to be mobilized, and thereby obtain a better esthetic result, without the risk of conversion to mastectomy being increased if re-excisions are required, with breast remodeling and symmetry in relation to the contralateral breast being increased<sup>30</sup>.

Oncoplastic procedures in BCS comprise various techniques. From simple remodeling with intramammary glandular-adipose flaps to more advanced mammoplasty techniques that allow resection of large volumes using reductive patterns according to the breast and tumor size and location<sup>31</sup>.

Planning these procedures is the most important part of surgery. It requires from the surgeon to have

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Type I	The treated breast has a normal appearance with no deformity to treat, but there is an asymmetry in volume or appearance in comparison with the contralateral breast
Type II	The treated breast exhibits a deformity that can be corrected by a partial reconstruction of the breast using its own tissue

Type III The treated breast exhibits an important deformity or painful diffuse fibrosis that must be corrected by mastectomy

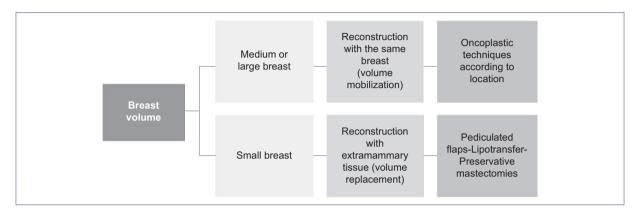


Figure 1. Surgical options according to breast volume.

technical and cosmetic knowledge of the anatomical foundations of the breast, to be familiar with oncoplastic patterns, as well as with the resolution of possible complications. It entails a learning curve and management of high volumes of patients<sup>32-39</sup>.

Planning the type of technique and the choice and design of the pattern should be carried out preoperatively, with the patient sitting or standing and with careful examination of previous breast imaging studies and taking patient preferences into account (Fig. 1).

It depends on three elements<sup>40,41</sup>:

- The volume to be resected: It is the predictive factor of surgical results and possible postoperative deformity. The tumor volume/breast size ratio is essential. It is possible to resect large volumes in medium-to-large breasts without significant cosmetic compromise.
- Tumor location: There are areas that are at high risk of deformity such as the lower pole or the upper-inner quadrant.
- Breast density: Evaluation is carried out clinically and with mammography. In cases of adipose composition in most part of the breast, the risk of necrosis is higher in the event of large volume excision and closure mobilizations.

Classification of oncoplastic procedures by resection size<sup>33</sup>:

- Level I: < 20% of breast volume is removed. These procedures can be carried out by surgical oncologists without oncoplastic training.
- Level II: 20-50% of breast volume is removed. In these cases, excess skin excision is usually necessary for breast remodeling. The procedures are based on reductive techniques and require specific training in oncoplastic surgery.

The breast is not a homogeneous organ, and each area of it reacts differently to the resection of the tissue that composes it; different areas or segments offer different resources for remodeling and reducing the impact of the scar. Eight segments have been proposed (Table 2)<sup>41-50</sup> and different oncoplastic patterns according to their complexity (Table 3)<sup>51</sup>.

As in any BCS, the surgical specimen should always be oriented and marked for recognition by the pathologist. Standardization at hospital centers is recommended for surgical specimen referral.

In case of positive margins, they should be widened; in some centers, the approach is to systematically widen margins (shaving of the surgical cavity) to avoid reintervention due to compromised margins.

The surgical bed should be marked with radiopaque clips after resection and before tissue repositioning for future localization (RT and surveillance).

Segment	Location	Deformity	Patterns
I	Lateral	Lateral contour deformity, NAC lateral deviation	Periareolar pattern or circular mammoplasty Lateral mammoplasty, lateral resection+NAC medialization pedicled perforator flaps
II	Upper	Upper pole depletion, NAC upper deviation, fibrous bands	Periareolar pattern or circular mammoplasty Horizontal mammoplasty Lower pedicle vertical mammoplasty Pedicled perforator flaps
III	Infraclavicular ("social breast")	Visible scar, "step" effect	Periareolar pattern or circular mammoplasty Axillary approach Lower pedicle vertical mammoplasty
IV	Upper medial ("social breast")	Visible scar, visible deformity	Periareolar pattern or circular mammoplasty Inframammary access Lower pedicle vertical mammoplasty
V	Lower medial ("social breast")	Visible scar, visible deformity	Rotation mammoplasty Adipofascial flap Pedicled perforator flaps
VI	Lower pole	Lower pole rectification, NAC distortion ("parrot beak"), "axe blow" sign	Upper pedicle vertical mammoplasty Circular mammoplasty Pedicled perforator flaps
Segment	Location	Deformity	Patterns
VII	Inframammary fold	Deformity when there is scarce breast volume	Adipofascial flap Pedicled perforator flaps
VIII	Central	"Axe blow" sign	Lower pedicle vertical mammoplasty Grisotti's technique Pedicled perforator flaps

Table 2. Breast segmentation according to tumor location

NAC: nipple-areola complex. Adapted from Weber et al., 2018<sup>50</sup>.

# Table 3. Oncoplastic patterns according to their complexity

Low complexity	Intermediate complexity	High complexity	
Circular mammoplasty (round block)	Upper pedicle vertical mammoplasty	Vertical mammoplasty for central tumors	
Horizontal mammoplasty (batwing)	Lower pedicle vertical mammoplasty	Re-excision due to compromised margins	
Lateral mammoplasty	Low visibility incisions	Limiting B/T ratio at inner quadrants	
	Access to single port – Tunnelization	After neoadjuvant treatment with poor response	
		Extreme oncoplasty	
		Pediculated flaps - Conservative mastectomies	

# **Conservative mastectomies (CM)**

Scientific evidence supports the oncological safety of CM, compared to conventional mastectomy, since they do not increase local recurrence and the same criteria are used to indicate post-mastectomy RT (Table 4)<sup>46-50</sup>.

CMs have the advantage of facilitating immediate breast reconstruction. Indications:

- When there is contraindication to conservative treatment.
- When risk-reducing mastectomy (RRM) is indicated.
- Patient preference.

### Table 4. Conservative mastectomies

SSM	SNSM and TSSM	SSM
Attempts to preserve as much as possible of the outer covering skin	Also known as total skin-sparing mastectomy	Skin-sparing mastectomy type IV
Respects the inframammary fold, the middle line and upper pole: "breast footprint"	Preservation of the entire skin cover, including the NAC	Large and ptotic breasts that require skin cover reduction or contralateral mastopexy
Includes the NAC, mammary gland and any previous scar	Proximal galactophore ducts excision, with only NAC dermis and epidermis being preserved	NAC can be preserved or not according to its compromise or viability
Minimal changes in skin color and symmetry	Indications regardless of tumor size or NAC distance: negative nipple base biopsy	Immediate breast reconstruction indicated
Axillary approach through the same incision	Immediate breast reconstruction indicated	
Facilitates reconstruction with a more natural shape	Contraindicated in case of NAC clinical or pathological compromise	
Allows filling of the glandular opening with autologous tissue or breast implants	Contraindicated in case of Paget's disease or in the presence of pathological thelorrhagia	
Immediate breast reconstruction indicated		

NAC: nipple-areola complex; SNSM: skin and nipple-sparing mastectomy; SRM: skin-reducing mastectomy; SSM: skin-sparing mastectomy; TSSM: total skin-sparing mastectomy.

# Types of CM

- Skin-sparing mastectomy (SSM).
- Skin and nipple-sparing mastectomy (SNSM) or total skin-sparing mastectomy.
- Skin-reducing mastectomy.

# Absolute contraindications to CM

- Inflammatory carcinoma.
- Extensive skin involvement.

Both in oncoplastic patterns and CM, complications such as partial or total necrosis of the skin flaps and/ or nipple and loss of nipple sensitivity may occur, which is why the patient must be informed about this before the surgical procedure. The complication rate is higher in patients with large breast volume, breast ptosis, obesity, senile patients, with comorbidities and smokers and in mastectomies with concomitant RT.

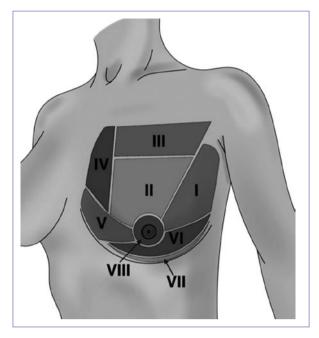
When undergoing oncoplastic surgery, the patient must be aware that the procedure is not an esthetic surgery, but rather an oncological surgery that seeks to achieve the best esthetic result; in addition, it is the duty of the treating team to inform her of the true results and possible complications associated with the intervention. In cases of surgeries with resection of large volumes, it is possible that this may lead to asymmetry in relation to the contralateral breast. In this case, it is necessary to inform the patient about the feasibility of symmetrization in the same surgical act or at another stage (Figs. 2-4).

Follow-up after oncoplastic surgery should be carried out by clinical examination and imaging studies that include mammography and breast ultrasound and, when indicated, MRI of the breast.

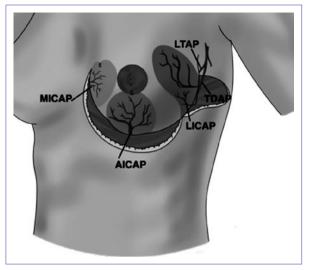
# Surgical treatment of the axilla

Axillary evaluation in the management of invasive breast cancer is essential for obtaining prognostic information about the disease, guiding adjuvant treatment, and for obtaining OS and regional relapse estimates<sup>51,52</sup>.

Initial evaluation should include a thorough clinical examination and define the necessary studies. If there is clinical/radiological suspicion, it must be confirmed with lymph node tissue or cytology (lymph node core needle biopsy/fine needle aspiration biopsy). This step is necessary for definitive axillary management to be planned<sup>51,52</sup>. Although axillary dissection has classically been accepted if there is no other high-sensitivity and specificity diagnostic method, its use has been declining to give way to SLN evaluation in cN0, and/or negativization in cases of low burden (cN1) after neoadjuvant treatment<sup>53,54</sup>. The considerations are shown in table 5.



**Figure 2.** Breast segmentation. Segment I or lateral, segment II or upper, segment III or infraclavicular, segment IV or medial, segment V or lower medial, segment VI or lower pole, segment VII or intramammary fold, segment VIII or central segment.



**Figure 4.** Thoracic arteries pedicled perforator flaps. LTAP: lateral thoracic artery perforator flap; TDAP: thoracodorsal artery perforator flap; LICAP: lateral intercostal artery perforator flap; AICAP: anterior intercostal artery perforator flap; MICAP: medial or internal intercostal artery perforator flap.

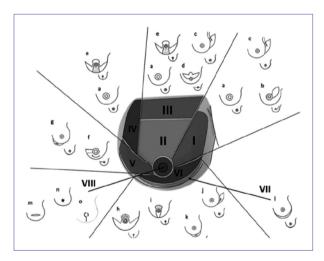


Figure 3. Oncoplastic patterns: a) circular mammoplasty (round block); b) lateral mammoplasty; c) lateral thoracic artery perforator, thoracodorsal artery perforator pedicled flaps; d) horizontal mammoplasty (batwing); e) double branch vertical mammoplasty with lower pedicle (Wise); f) rotation mammoplasty; g) medial or internal intercostal artery perforating pedicled flap; h) double branch vertical mammoplasty with upper pedicle (Wise); i) single branch vertical mammoplasty with upper pedicle; j) lateral intercostal artery perforator pedicled flap; k) anterior intercostal artery perforator pedicled flap; l) inframammary fold; m) spindle cleavage; n) concentric excision; and o) Grisotti's technique.

# **Omission of surgical axillary evaluation**

The scenarios where surgical axillary staging can be omitted are the following:

- When surgical axillary evaluation will not affect the recommendation for adjuvant management (old age, significant comorbidity).
- Pure ductal carcinoma in situ (DCIS), which will be treated with BCS and without clinical suspicion of invasion.
- Patients aged 70 years or older with cT1-2N0, positive hormone receptors (HR).
- RRM.
- Primary breast sarcoma or phyllodes tumor.

# **SLN**

In clinically negative axilla (cN0), SLN biopsy is the standard for surgical staging, and its purpose is to know the histopathological status. Several randomized clinical trials, such as NSABP32 and ACOSOG Z011, have demonstrated the oncological safety of the procedure and lower morbidity (lymphedema, pain, and upper extremity and shoulder sensory alterations), in comparison with the effects of axillary dissection<sup>55-57</sup>.

The recommendation of the SLN procedure primarily includes the surgeon's experience, who must demonstrate mastery of the mapping technique. As for SLN identification, we recommend functional dissection as 
 Table 5. Considerations to be taken into account at the time of axillary evaluation

Disease-related	Surgery-related	Related to the information provided by surgical extent
Positive lymph nodes	Post-neoadjuvant treatment surgery	Axillary dissection
	Availability of tracers/ markers	
	Primary tumor surgery extent: partial vs. total mastectomy	

the first option, given that it is relevant for SLN accurate localization, with the least morbidity. The SLN localization is independent of the dye-radioisotope-tracer application site (peritumoral vs. periareolar)<sup>58,59</sup>.

Although high localization rates have been demonstrated with a single technique (and regardless of which one is used), we suggest performing it with a double tracer in combination: dyes (patent blue, indocyanine green), radioisotope (when there is a nuclear medicine department available), or magnetic tracer if this technology is accessible. If the necessary conditions are not available (mastery of the technique, surgical devices, tracers or pathology team familiar with the management of lymph nodes), referral of patients to centers specialized in the procedure should be considered (currently, performing an axillary dissection in case of cN0 and primary surgery is considered incorrect from the oncological point of view)<sup>60,61</sup>.

# Omission of axillary dissection in case of positive SLN

Prospective studies such as NSABP-32, IBCSG 23-01, ACOSOG Z0011, and AMAROS have been established as pivotal studies in the advancement of SLN consolidation as a single procedure for axillary evaluation in cases of cN0 and/or low pathological lymph node burden (micrometastasis and macrometastasis), in addition to supporting the use of RT for appropriate regional control in some cases with positive lymph nodes, and always with lower rates of morbidity in comparison with axillary dissection per se, all of this in the primary surgery scenario<sup>56,58,60-64</sup>.

In case of negativization (ycN0) with no or low initial lymph node disease burden, the acceptable scenario for de-escalation to axillary surgery would be the technique that achieves false-negative rates < 10%, although a certain arbitrariness is suggested for this figure<sup>65</sup>. It should be noted that, globally, the pathological response is higher in the axilla than in the primary lesion (37 vs. 49%), but in high-risk biological subtypes, it can be substantially higher. For this scenario, we used the results of classic studies such as ACOSOG Z1071, SENTINA, and TAD (targeted axillary dissection), to retrieve information supporting the recommendations on the axillary approach<sup>66-72</sup>.

# **Axillary dissection**

The NSABP B-04 trial clarifies the impact of the lymph node area itself as an independent factor; however, this is limited to a single procedure, which cannot be entirely compensated by non-invasive studies. This translates into the recommendation to offer axillary dissection only to patients with high lymph node burden; N2-N3, whether at initial clinical staging or after neoadjuvant treatment. This recommendation clarifies that the use of the information is for staging purposes, i.e., in these clinical presentations, today, it will be in few cases (if not zero) that we will recommend primary surgery and regardless of the subtype (Table 6)<sup>62,70</sup>.

It is recommended to complete axillary dissection in patients undergoing total mastectomy with SLN, who on pathological (definitive) examination turn out to have macrometastatic disease and will not undergo adjuvant RT, in addition to those patients undergoing primary surgery with three or more positive SLNs, and in whom post-neoadjuvant treatment pathological study shows disease persistence and require a larger number of positive lymph nodes for adjuvant treatment to be considered (e.g., RT extent, cyclin inhibitor ck4,6 application)<sup>71-77</sup>.

# Morbidity decrease at axillary evaluation: reverse mapping and risk-reducing functional axillary lymphadenectomy

Currently, one of the most relevant situations during axillary evaluation according to morbidity decrease is related to the extent, but we could strongly emphasize that the handling of tissues during the procedure is essential. In fact, over the last decade, different study groups (Li from China and Clough from France) have tried to characterize anatomical points that are relevant to the main location of lymph nodes of the mammary gland main drainage and thus have managed to identify, by quadrants, the structures that are related to each other (lateral thoracic vein and 2<sup>nd</sup> intercostobrachial

Tabl	e 6.	Criteria	for	omission	at	surgery
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Criteria for axillary dissection omission at primary surgery	Criteria for axillary dissection omission at surgery after neoadjuvant treatment
<ul> <li>Patients with T1-T2, with SLN positive for micrometastases, who will undergo adjuvant treatment</li> <li>Patients with T1-T2 tumors, treated with conservative and SLN surgery; if the result is one or two SLNs positive for micrometastases and will undergo adjuvant treatment with RT and systemic treatment</li> </ul>	<ul> <li>Double mapping technique</li> <li>Dissection of at least three lymph nodes</li> <li>Marked lymph node dissection</li> </ul>

SLN: sentinel lymph node; RT: radiotherapy.

arch), with these structures being regarded as relevant to a functional dissection of the area. Not less relevant, and with higher diffusion, is the use of reverse mapping (arm and breast drainage marking with different tracers), which enables to significantly reduce axillary management inherent morbidity, specifically lymphedema, by between 37 and 43% of expected rates. The anatomical references are identified in figures 5 and 6, and schematizes the relationship between different types of staining of the breast/upper extremity drainage tracing<sup>78-80</sup>.

The possible scenarios are summarized and depicted in figures 7 and 8. However, management individualization cannot be fully captured by them.

### **Breast reconstruction**

Breast reconstruction is an integral part of the treatment of the patient with breast cancer. Different studies have demonstrated the importance of breast reconstruction after mastectomy, whether partial or total, and the long-term impact it has on patient quality of life regardless of the oncological status<sup>76</sup>.

In general terms, the reconstructive armamentarium the breast surgeon has includes: oncoplastic techniques for rearranging breast tissue, alloplastic materials (expanders/implants), and autologous tissue flaps, whether pedicled or free. There are also reconstruction adjuvants such as acellular dermal matrices and autologous fatty tissue transfer (lipotransfer). The choice of the reconstructive method must be individualized according to patient characteristics, the oncological disease and its treatment, the desire for reconstruction, and the

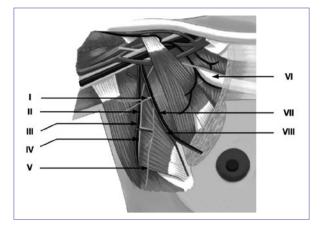


Figure 5. Scheme of anatomical points for localization of the largest area of lymph nodes and/or condition based on Clough and Li's classification. I: 2nd arch, intercostobrachial nerve; II: thoracodorsal artery; III: thoracodorsal vein; IV: thoracodorsal nerve; V: long thoracic nerve; VI: second costal arch; VII: lateral thoracic artery; VIII: lateral thoracic vein.



Figure 6. Double drainage tracing for reverse mapping and upper extremity drainage preservation.

feasibility of performing a particular procedure (availability of expanders, implants, matrices, microscopes, etc.).

To determine the best reconstruction method, collaboration between the oncology team and the reconstructive surgeon is essential, since when the case is preoperatively jointly evaluated, it is possible to trace a plan that optimizes the esthetic result according to patient wishes, without oncological safety of the treatment being compromised.

When reconstructive methods are analyzed, it is useful to divide the cases between partial mastectomies and total mastectomies (whether or not they are skin and nipple-sparing).

# Reconstructive approach for partial defects

# Partial defects immediate reconstruction

When there is sufficient breast tissue after tumor resection, oncoplastic surgery techniques (see

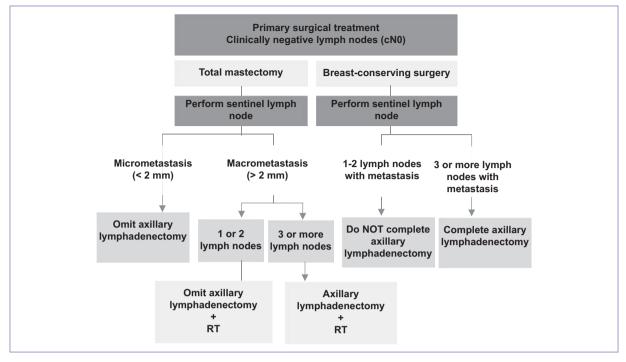


Figure 7. Clinically negative lymph nodes and primary surgery.

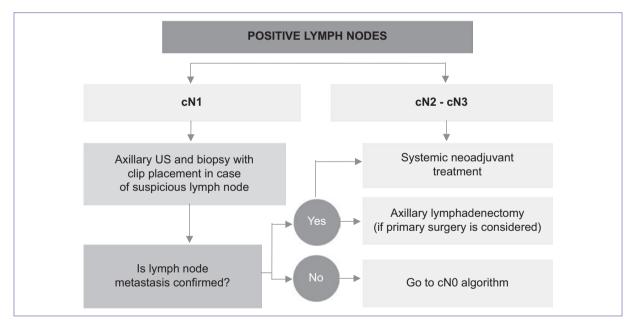


Figure 8. Approach to lymph node disease present at initial evaluation.

oncoplastic surgery section) allow the reorganization of the remaining breast tissue to rearrange it in such a way that the resection defect is less perceptible<sup>81-86</sup>.

Occasionally, partial mastectomy defects exceed the dimensions that can be repaired with tissue mobilization oncoplastic techniques and require tissue replacement reconstructive techniques, i.e., autologous flaps and/or fat transfer (see oncoplastic surgery section)<sup>85,87,88</sup>.

Late reconstruction of partial defects

The technique to be chosen for the reconstruction of partial defects largely depends on the moment when it is performed. Late reconstructions of partial mastectomies in patients who have already received RT represent a major reconstructive challenge. In these cases (especially when only part of the breast has been irradiated), it is possible to apply the same oncoplastic techniques using the tissue that has not been irradiated. On the other hand, sometimes the tissue damage caused by RT requires using other reconstructive techniques such as tissue expansions, autologous fat tissue transfers (lipotransfer) or even using muscle or musculocutaneous flaps<sup>89</sup>.

Lower and outer quadrant defects often require tissue replacement with pedicled artery perforator flaps, such as the thoracodorsal artery flap, or myocutaneous flaps such as latissimus dorsi flap. It is important to consider the symmetry of the contralateral breast at the same surgical time whenever possible.

# Reconstructive approach after total mastectomy

Modern reconstruction techniques, whether with alloplastic materials (expander/implant), dermal matrices, or autologous tissues, allow contraindications to the performance of immediate breast reconstruction to actually be very few, and generally are more related to patient performance status, age and comorbidities, or to very advanced tumor stages, where treatment is palliative<sup>90,91</sup>.

In general terms, it should be considered that any breast reconstruction may require two surgical procedures, even when an immediate reconstruction is carried out with an implant or definitive flap. Sometimes, minor touch-up procedures may also be required for improving the reconstruction final result.

# **Reconstruction with alloplastic materials**

It refers to the use of tissue expanders and/or silicone implants for breast reconstruction. These materials can be placed immediately after mastectomy or belatedly. One of the necessary conditions for enabling their use is to have viable and well-vascularized skin flaps, which should be sufficiently thick to avoid exposure of the materials.

# Immediate prepectoral reconstruction with direct implant

When the skin flaps are of good quality and there is no ptosis or excess skin, and the volume to be reconstructed allows for it, a direct implant can be placed at the prepectoral position, i.e., under the skin and above the pectoralis major muscle. It is the procedure of choice in cases of SSM or SNSM both in RRM scenarios and in patients with early-stage breast cancer<sup>90-94</sup>.

In most cases, it is necessary to interpose some types of tissue between the alloplastic material and the skin flaps. Acellular dermal matrices are an excellent option for providing an interface that ultimately becomes integrated and vascularized. Unfortunately, its high cost can make its use prohibitive. The matrix can be used as the only coverage, completely wrapping the implant at the prepectoral position, or as a caudal extension of the pectoralis muscle when retropectoral reconstructions are performed. Recent studies have demonstrated the oncological and reconstructive safety of the use of polyurethane-coated implants for immediate prepectoral reconstructions, with overall complication rates similar to those reported for acellular dermal matrices, meshes, or subpectoral series, except for a higher implant exposure rate, with the technique being safe and economically advantageous, since it is a single-stage procedure without meshes or acellular dermal matrices. These are preliminary data, and further larger-scale and comparative studies are needed<sup>95</sup>.

# Immediate retropectoral reconstruction with expander and/or implant

One of the most common techniques that most reconstructive surgeons are familiar with is expander or implant retropectoral placement. In this procedure, a pocket is dissected behind the pectoralis major muscle, in continuity with fibers of the anterior serratus and oblique major and rectus abdominis anterior fasciae. This pocket allows the placement of either a silicone gel implant (up to a certain volume) or a tissue expander. It is possible to disinsert the pectoral muscle at its lower edge and use a dermal matrix as a caudal extension of the muscle, and thereby have a pocket of larger dimensions. In these cases, the upper pole of the implant is covered by the muscle and the lower pole by the matrix.

Tissue expanders are similar devices to a silicone implant, but they are placed empty and have a port through which they are gradually filled with solution via a percutaneous puncture within the first postoperative months. They are indicated in cases where the pectoralis muscle is thin, small, or highly inserted, or when it has been compromised during the mastectomy procedure and does not tolerate the tension generated by the definitive implant. They are also used when a significant portion of skin has been resected and prevents closure above an implant, and in cases of late breast reconstruction when the skin is adhered to the muscle. Once the expansion phase is over and the desired volume has been reached, a second procedure is performed (usually 4-6 months later) to exchange the expander for a definitive implant; in this second reconstruction stage, it is possible to make adjustments to the reconstructed breast or symmetrizations at the contralateral breast<sup>96</sup>.

# Reconstruction with alloplastic material + flap

Another option for reconstruction with alloplastic materials is to concomitantly use a muscle or myocutaneous flap to provide coverage and/or replace resected skin. The most commonly used is the latissimus dorsi flap, with or without a skin island. It is commonly used for late reconstructions or when the skin resection prevents direct closure of the mastectomy flaps<sup>86,92</sup>.

# Fat transfer as an adjuvant to reconstruction

In recent years, autologous fat tissue transfer or lipotransfer has been shown to be a useful, effective and safe tool for breast reconstruction<sup>97</sup>. This procedure consists of obtaining fat grafts using a liposuction cannula, and the fat is processed in the operating room and subsequently infiltrated, with integration of the fat grafts into a new anatomical site being thus achieved.

Fat transfer can be carried out at different planes and tissues and for different purposes. When injected at the level of the muscle and skin flap, it allows to thicken it in a generalized manner or to correct contour irregularities resulting from mastectomy. It can also be used to increase reconstructed breast overall volume<sup>98,99</sup>.

Moreover, transferred fat is rich in growth factors and stem cells that have been shown to have a powerful regenerative action, especially in patients with trophic changes due to radiodermatitis. It improves the quality and elasticity of the skin and is especially useful for improving the skin in late reconstructions of patients who have been irradiated.

Immediate reconstruction with alloplastic materials is a reconstructive method with few contraindications. Especially in patients undergoing SSM, it has a very high satisfaction rate without compromising the result or oncological follow-up<sup>98,99</sup>.

# **Reconstruction with flaps**

### Pedicled flaps

The latissimus dorsi muscle and the thoracodorsal artery perforator flap continue to play important roles in postmastectomy reconstruction. These flaps are good options for overweight or obese patients, for whom it is not always safe to perform reconstruction with implants, especially when large volumes are not required.

### Abdominal-based free flap

It consists of performing breast reconstruction with a lower abdominal tissue free flap, better known as deep inferior epigastric perforator (DIEP) flap. For patients who received RT and in whom the skin was not preserved, this flap is used for late reconstruction, adding and/or replacing the necessary skin. The DIEP flap can also be implanted simultaneously with the mastectomy procedure, which allows immediate reconstructions to be carried out, even in patients who are to receive RT.

The reconstruction pedicled variant with abdominal tissue, better known as TRAM flap, is considered obsolete and should be avoided due to its donor site morbidity and less lasting results. However, this reconstructive option is reserved for centers where infrastructure and microsurgery-qualified personnel are not available.

### Abdominal-based free flap

In this regard, the options are multiple. The decision on the tissue donor site will depend on the physical characteristics of each patient, as well as on recipient blood vessels availability. Some options for this alternative include the gracilis (oblique, transverse, and vertical) free flap, superior or inferior gluteal artery perforator flap, deep femoral artery perforator free flap, and lumbar artery perforator flap, among others. The technique and success of these options is also linked to proper selection and planning<sup>100-102</sup>.

# **Reconstruction and RT**

Perhaps one of the main obstacles faced by the reconstructive surgeon is treatment with RT, since the changes generated by it can compromise the esthetic result or even end up in reconstructive method loss<sup>103</sup>.

# Autologous tissue flaps

When due to tumor characteristics, it is anticipated that the patient will require treatment with RT, higher success has been demonstrated when reconstruction is carried out with autologous tissue, either immediate or belated, especially in advanced tumors with extensive skin loss.

The need for adjuvant treatment with RT is not a contraindication to immediate autologous reconstruction, even if postmastectomy RT is required. So far, no differences have been demonstrated that impact patient satisfaction in terms of flap shape and texture or differences in the percentage of fat necrosis in the flap.

Late reconstructions are recommended 12 months after RT completion. These flaps are also suitable for patients who have undergone RT and who have partial defects, since the additional blood supply provided to the reconstructed breast can help improve tissue quality by transferring healthy tissue to the irradiated site.

Autologous flaps are an excellent reconstructive option; however, the procedure requires doctors and personnel with special training in microsurgery, as well as hospital infrastructure, which, unfortunately, is not always available on a regular basis in our country.

# Tissue expander and/or implant

The presence of a tissue expander has been shown not interfere with RT effectiveness<sup>104,105</sup>. In cases where a tissue expander has been placed before RT, it is recommended to fill the expander to a certain volume before RT. If the skin is regarded as being in poor condition or as having significant hypotrophy, it is advisable to reduce the volume of the expander before RT. The important thing is to maintain a constant and defined volume during RT planning and administration in order for not to modify the position of tissues. Subsequently, the second stage of reconstruction can be carried out with a change to a definitive implant 6-12 months later. As previously mentioned, fat transfer is especially useful in this subgroup of patients for improving skin quality. The presence of a direct implant is not a contraindication to treatment with RT; however, the rates of periprosthetic capsular contracture significantly increase, and are reported in up to 21%, and to cause pain or asymmetry<sup>105-107</sup>. Therefore, in cases where the need for RT is preoperatively anticipated, it may be advisable to use an expander or another reconstructive method<sup>104,105</sup>.

# **Other RT effects**

Surgical wound complications are more common in irradiated patients. Changes due to acute or chronic radiodermatitis are also common. Treatment with fat can be useful in these cases, sometimes requiring damaged skin replacement with skin obtained by a myocutaneous flap.

### **Risk-reducing bilateral mastectomy**

Risk-reducing bilateral mastectomy is an intervention option for women at high risk of developing breast cancer, since it has been shown to be the most effective method for reducing it in patients who are carriers of *BRCA* 1 and 2 mutations and other high-risk pathological variants such as *PALB2* and of moderate risk such as *ATM* and *CHEK2*<sup>108</sup>. The decision to perform a RRM is influenced by a variety of factors, including patient perceived risk of breast cancer, anxiety generated by screening, diagnostic procedures, and expectations the patient has about the cosmetic results of surgery<sup>109</sup>.

The multidisciplinary team can help in the decision-making process, providing an accurate estimate of the individual risk for breast cancer, taking genetic and non-genetic factors into account, in a bio-psycho-social approach (Table 7).

There are tools available for calculating 5-year and lifetime risk. These are based on various mathematical models for calculating it. Among the most widely used are the Claus model, the Gail model, and the TyrerCuzik model, among others, although currently, there is no model that includes all risk factors<sup>110</sup>.

Genetic testing for people who are carriers of mutations in the *BRCA* 1 and 2 genes provides information on the type of mutation and lifetime risk for the development of breast cancer.

There is not a unique risk value above which RRM is clearly indicated, and it is important that the surgeon and multidisciplinary team explain the patient not only the risk assessment but also all available intervention strategies to facilitate a shared decision-making

### Table 7. Risk factors and their relative risk

Risk factor	Relative risk
Genetic risk factors Female gender Age Mutation in high-penetrance gene ( <i>BRCA1, BRCA2, p53, STK11</i> ) Mutation in moderate-penetrance gene ( <i>PTEN, p16, PALB2, CDHI, NFI, CHEK2, ATM, BRIP1</i> ) History of breast cancer in mother, daughter or sister	114 4-158 26-36 2.0-2.7 1.55-1.8
Non-genetic factors Mantle field radiation (treatment of lymphoma)	5.6
Genetic risk factors Number of alveoli per lobe in benign breast tissue 11 to 20 (mammary involution) 21-40 41	2.8 3.23 1.85
Mammographic density 25-50% (dispersed densities) 20-75% (heterogeneously dense) 51-75% (dense) Lobular carcinoma <i>in situ</i> on breast biopsy Atypical hyperplasia on breast biopsy Increased bone mineral density Age at first delivery (35 years) Obesity (body mass index 30 kg/m <sup>2</sup> ) Any breast benign disease High level of circulating insulin Five years on combined hormone replacement therapy (e.g., estrogen and progestin) Nulliparity (no live births) Alcohol consumption: more than one beverage per day Menarche before 12 years of age	$\begin{array}{c} 2.4\\ 2.4\\ 5.3\\ 5.4\\ 5\\ 2.0 \cdot 2.5\\ 1.31 \cdot 1.93\\ 1.2 \cdot 1.8\\ 1.47\\ 1.46\\ 1.26 \cdot 1.76\\ 1.26 \cdot 1.76\\ 1.26 \cdot 1.55\\ 1.31\\ 1.21\end{array}$

process. Counseling should include a discussion about the degree of protection, reconstruction options, and risks. In addition, family history and residual risk of breast cancer with age and life expectancy should be considered during counseling<sup>108</sup>.

RRM is the most effective way to reduce the incidence of breast cancer. It has been shown to reduce the risk by up to 90% in women who are carriers of mutations in the *BRCA* 1 and 2 genes and by 95% if accompanied by a risk-reducing bilateral salpingo-oophorectomy (RRSO)<sup>108</sup>.

Studies have shown this protection to be close to 95% when a meticulous surgical technique is used to remove the largest amount of breast tissue. The incidence of cancer after RRM is attributed to residual breast tissue<sup>111</sup>.

Available data also confer a survival advantage to higher-risk women who undergo the procedure at a relatively young age. Large-scale studies with longterm follow-up are necessary for demonstrating the real benefit in OS, and patients should be aware that the evidence confers the highest benefit of RRM in carriers of mutations in the *BRCA* 1 and 2 genes, at an early age (under 40 years of age) and especially when accompanied by RRSO (from age 35 onward).

Some considerations for selecting patients for RRM include:

- Women with a high-risk genetic mutation.
- History of family breast cancer.
- History of chest RT at young age (< 30 years of age).
- Lobular carcinoma *in situ* (lobular neoplasm *in situ*).
   There are these surgical options:
- Total mastectomy (simple).
- SSM.
- SNSM.

All these procedures must include the axillary process (tail of Spence) and the pectoral muscle fascia. According to current evidence, the reference method appears to be SNSM, which, thanks to the preservation of the skin outer cover and the NAC, enables to optimize oncological surgery and esthetic results. This technique does not appear to compromise oncological/ preventive efficacy in comparison with other types of mastectomy; however, SSM must be performed with technical skill to avoid leaving macroscopic residues of the mammary gland, particularly in the axillary process, the lateral and medial regions of the gland, and the NAC; it is necessary to carry out a careful dissection and meticulous preparation of the skin flaps and the NAC, which should be reasonably thin, without this compromising its vitality<sup>112</sup>.

In no procedure is SLN biopsy indicated<sup>113,114</sup>.

A detailed preoperative radiological study with mammography, ultrasound, and sometimes MRI should always be carried out to rule out the presence of suspicious breast lesions and minimize the risk of occult carcinomas by definitive histological examination.

In the absence of contraindications, all patients should be candidates for immediate breast reconstruction to minimize the negative physical and psychological impact of mastectomy.

Breast reconstruction must be carried out by plastic surgeons, with permanent prostheses or autologous tissues; the choice of the most appropriate reconstructive technique depends on several factors such as patient physical-anatomical structure, morphology and degree of breast ptosis, comorbidities, as well as patient wishes and preferences<sup>115,116</sup>.

Complications such as partial or total necrosis of the skin flaps and nipple, and nipple sensitivity loss may occur in SSM, and the patient should therefore be informed about this before the surgical procedure. The complication rate is higher in patients with large breast volume, breast ptosis, and in senile patients and smokers.

## **Contralateral RRM**

It is defined as mastectomy on the healthy side in a woman with unilateral breast cancer. The prognostic impact of contralateral risk-reducing mastectomy (CRRM) is difficult to evaluate, since available data are largely from retrospective studies. A Cochrane review on the efficacy of this procedure concludes that CRRM reduces the risk of contralateral breast cancer by 90-100%; however, it does not appear to have an impact on OS<sup>109</sup>. It is clear that the use of endocrine therapy and systemic CT decrease the incidence of contralateral breast cancer development, and these factors should be fully considered in the decision-making process around CRRM and its actual usefulness<sup>117</sup>.

The practice of this procedure is on the rise, often at the request of patients themselves, given that they tend to perceive that the risk of developing contralateral cancer is higher than it really is, and that CRRM is associated with higher survival rates. In patients who are not at high risk for contralateral breast cancer, a discussion on the risk associated with the procedure and the lack of survival benefit with CRRM, as well as a recommendation against the procedure (when it confers no benefit) by the surgeon, are effective for reducing unnecessary use<sup>110</sup>.

CRRM is an option for women who are carriers of *BRCA* 1 and 2 mutations, with early-stage breast cancer and who will undergo total mastectomy<sup>118</sup>.

The anxiety associated with carcinophobia in breast cancer patients can lead to the performance of procedures without clinical benefit, and therefore, the efforts on education and proper advice should be broad<sup>115</sup>. Another factor to consider is the performance of contralateral mastectomy as symmetrization process in a particular group of patients, which may drive the patient or the plastic surgery team to request the procedure.

As we move toward an increasingly personalized and patient-centered approach to care, we must care-fully consider respecting patient preferences and autonomy<sup>119-121</sup>.

# Adjuvant systemic treatment

To determine the optimal adjuvant therapy, the clinical oncologist must have complete information on the biological characteristics of the tumor. In particular, the expression or not of HR, HER2 neu (potential therapeutic targets), Ki67, and when indicated and available, a genomic signature study, given that these data are of substantial importance for designing the best individualized treatment<sup>122,123</sup>.

# Definition, objectives, and indications

Any antineoplastic treatment administered after surgical management is called adjuvant treatment; its goals are to prolong the disease-free period, reduce local and systemic recurrences, and increase  $OS^{122,124,125}$ . Adjuvant systemic treatment (hormone therapy [HT] ± chemotherapy [CT] ± trastuzumab) should be evaluated and administered by a medical oncologist, due to the degree of updating required, as well as the complications and toxicities that may be related to it.

In patients with positive lymph nodes, given the high risk of relapse in this group, all patients should receive some form of adjuvant systemic treatment (CT  $\pm$  HT  $\pm$  trastuzumab), regardless of the number of compromised lymph nodes (see genomic profiles).

In patients with negative lymph nodes, administration of systemic adjuvant treatment (CT  $\pm$  HT  $\pm$  trastuzumab) is recommended when any of the following conditions is present<sup>126,127</sup>:

- Tumor > 1 cm (> 3 cm for favorable histological types) such as tubular and mucinous cancer, with positive HR and negative HER2 (HT ± CT).
- > 5 mm triple-negative tumor (CT).
- > 5 mm tumor with HER2 neu oncogene overexpression (CT + trastuzumab ± HT).
- Genomic signature in cases where it is indicated and available (CT + HT).

Systemic treatment (CT  $\pm$  HT  $\pm$  trastuzumab) should also be considered if any of the following characteristics is present:

- High-grade tumor.
- Presence of lymphovascular invasion.
- Oncotype DX<sup>®</sup> with a score > 25, or < 50 years of age with a score of 16-25.6.
- Age < 35 years.

# Selection of adjuvant systemic treatment

Systemic therapy should be started as soon as possible, preferably within 6 weeks after surgical treatment. RT and CT simultaneous use is not recommended, due to toxicity increase. When both are indicated, treatment should start with CT, with RT being applied at the conclusion of it. CT and HT concomitant administration is also not suggested; the latter should not be started until completion of the former.

# Optimal timing for starting adjuvant CT

In recent years, the impact of treatment early start has been described in terms of time-to-recurrence reduction. Different studies have demonstrated that the time to start adjuvant CT after definitive surgery should be < 60 days; the longer the time to start treatment, the higher the probability of recurrence and death (hazard ratio [HR]: 1.20 and 1.36, respectively)<sup>128</sup>. It should be noted that, in various studies, delays in adjuvant CT administration are more frequent in older patients, with more comorbidities and with sociodemographic disadvantages<sup>128</sup>.

On the other hand, triple-negative and HER2-positive tumors have been shown to be the subtypes in which delays in the start of adjuvant treatment acquire more relevance (HR: 1.54 and 3.09, respectively)<sup>128</sup>.

Recently, the results of a cohort analysis of patients with triple-negative tumors were released, which

describe that the start of adjuvant CT should be within < 30 days, since it is associated with better disease-free survival (DFS) and OS, and that, contrarily, starting CT after this time has elapsed is associated with 10% lower 10-year OS<sup>129</sup>.

# Adjuvant treatment with CT

CT should be indicated and duly monitored by a medical oncologist, in an appropriate area (outpatient or hospital infusion unit) and with the help of nursing staff specialized in oncology and antineoplastic drugs administration. It is important to have the necessary antiemetic drugs to reduce digestive toxicity, as well as colony-stimulating factors to prevent or treat neutropenia.

The use of anthracycline-based regimens is recommended, due to the modest DFS and OS benefits, when compared with first-generation regimens such as CMF (cyclophosphamide + methotrexate + fluorouracil)<sup>122-124</sup>. In addition, the administration of taxanes has shown moderate clinical benefit, regardless of HR expression, of the number of compromised axillary lymph nodes or ovarian function<sup>125,130-134</sup>.

The recommendations for indicating CT and targeted therapy depend on the biological subtype. The recommended regimens are shown in table 8<sup>135</sup>.

Dose-dense CT regimens with biweekly AC (doxorubicin + cyclophosphamide), followed by weekly or biweekly paclitaxel plus filgrastim, achieve a 26% reduction in the risk of recurrence and a 31% reduction in the likelihood of death<sup>135</sup>.

Regarding the administration sequence between anthracyclines and taxanes, a meta-analysis supports the use of taxanes, followed by anthracyclines, as a reasonable option in daily clinical practice. The results obtained in terms of pathological responses in some phase III clinical trials also support this suggestion.

Adjuvant capecitabine should be considered in patients with triple-negative disease who do not achieve a pathological complete response (pCR) to neoadjuvant treatment<sup>136</sup>. Adjuvant inclusion of other medications such as gemcitabine or platinum salts to regimens with anthracyclines and taxanes is not systematically recommended, since studies have so far not demonstrated clinical benefit.

Currently, this consensus recommends suppressing the use of 5-fluorouracil (FAC or FEC regimens) as part of adjuvant treatment. When comparing the FAC or FEC100 regimens for six cycles against AC for four Table 8. Adjuvant systemic therapy regimens for early breast cancer

Regimens for negative HER2				
Dose-dense AC (doxorubicin+cyclophosphamide) followed by paclitaxel every 2 weeks Dose-dense AC followed by weekly paclitaxel TC (docetaxel, cyclophosphamide) Olaparib in case of <i>BRCA1</i> or <i>BRCA2</i> germline mutations Capecitabine for triple-negative subtype with residual disease after neoadjuvant treatment				
Regimens in special circumstances: Dose-dense AC AC every 3 weeks AC followed by weekly paclitaxel CMF	Other regimens: AC followed by triweekly docetaxel EC TAC			
Regimens for positive HER2				
Paclitaxel+trastuzumab Dose-dense AC followed by weekly paclitaxel TCH (docetaxel, carboplatin, trastuzumab) Trastuzumab±pertuzumab for 1 year, if there is no residual disease after neoadjuvant therapy, or if neoadjuvant therapy was not administered TDM1 (trastuzumab+emtansine) if there is residual disease after neoadjuvant therapy or trastuzumab±pertuzumab if there is intolerance due to TDM1 toxicity Trastuzumab+pertuzumab if there are positive lymph nodes at initial staging				
Regimens in special circumstances: TC+trastuzumab AC followed by T (paclitaxel) + trastuzumab AC followed by T (paclitaxel) + trastuzumab+pertuzumab Paclitaxel+trastuzumab+pertuzumab TDM1 (trastuzumab+emtansine) Neratinib as extended therapy	Other regimens: AC followed by docetaxel+trastuzumab AC followed by docetaxel+trastuzumab+pertuzumab			

CMF: cyclophosphamide+methotrexate+fluorouracil.

cycles, they do not demonstrate benefit in terms of Table 9. Adjuvant hormone therapy for breast cancer disease-free period or OS137.

# Adjuvant treatment with HT

Adjuvant HT should be indicated for at least 5 years in all patients with positive HR to prevent metastatic disease, locoregional recurrence, and contralateral tumors. Recurrence rates show reductions from 10 to 30% in tumors with moderate expression and from 40 to 50% in tumors with high expression (Table 9)<sup>138</sup>.

The superiority of aromatase inhibitors (Als) in the adjuvant modality over tamoxifen is: 3% reduction in recurrence and 2% reduction in 10-year mortality. Using tamoxifen in patients who do not tolerate AI is acceptable.

The benefit of Als is of higher value in the treatment of high-risk cancer (considering as high-risk factors: advanced clinical stage, tumor size larger than 5 cm, 4 or more positive lymph nodes, Grade 3, Ki67

Tamoxifen 5-10 years	Al plus ovarian suppression
Premenopausal at	5-10 years*
diagnosis Al 4.5-6 years followed by tamoxifen to complete 10 years	Postmenopausal at diagnosis – Tamoxifen 2-3 years followed by Al to complete 10 years – Tamoxifen 5-10 years in case of intolerance to Al

\*Analyze the case and risk factors to select candidates for this treatment Al: aromatase inhibitors.

higher than 20%), and in the treatment of lobular tumors139,140.

# Carcinoma in situ

For DCIS, tamoxifen (20 mg/day) is recommended for 5 years, as a therapy for reducing the risk of relapse, in patients with BCS and positive HRs<sup>141-143</sup>. For postmenopausal women, treatment with Als can be considered for 5 years<sup>144</sup> (see Primary prevention).

# **Invasive carcinoma**

# Premenopausal at diagnosis

Tamoxifen (20 mg/day) is recommended for a duration of 5 years in premenopausal or perimenopausal women with positive or unknown HR<sup>145</sup>. In high-risk patients, adjuvant treatment can be extended to 10 years<sup>146</sup>.

In women who remain premenopausal after having received CT (or who have recovered ovarian function within the first 8 months after CT conclusion) and with any high risk factor, AI plus ovarian ablation is recommended (SOFT and TEXT trials)<sup>147,148</sup>.

The frequency of adverse events was higher in the two groups that received ovarian suppression than in the tamoxifen alone group. Ovarian suppression plus AI results in higher efficacy and also higher toxicity. Starting with medical ablation to assess tolerance and adverse effects is recommended before suggesting a permanent method with surgery or RT<sup>147-149</sup>.

### Postmenopausal at diagnosis

Als for 5 years or sequential therapy are recommended: tamoxifen for 2-3 years and continuing with an Al to complete 7-10 years<sup>148</sup>.

# Patients with early breast cancer and high risk

For premenopausal and postmenopausal patients with HER2-negative early breast cancer at high risk of relapse: four or more positive lymph nodes or one to three compromised lymph nodes, with grade 3 disease, or a tumor measuring 5 cm or more or KI67 higher than 20%, abemaciclib is recommended for the first 2 years plus endocrine therapy, which provides an absolute benefit in 4-year recurrence-free period of 6.4%, according to the MonarchE trial<sup>150,151</sup>.

# **Extended adjuvant HT**

Extended HT is recommended in patients at high risk of late recurrence. Before considering prescribing extended therapy, it is important to consider life expectancy, presence of high-risk clinicopathological factors, prior treatment tolerance, comorbidities of each patient, and side effects<sup>152-154</sup>.

The results of the tamoxifen trials ATLAS<sup>9</sup>, aTTom<sup>151</sup> and more than 5 years of adjuvant treatment with AI<sup>147-151</sup> and the latest ASCO guidelines<sup>147-151</sup> justify extended adjuvant HT for 7-10 years in patients with positive lymph nodes. In the case of premenopausal patients, tamoxifen has increased the OS rate, and in postmenopausal patients, an AI is associated with a lower risk of breast cancer recurrence and contralateral breast cancer, in comparison with placebo<sup>155-158</sup>.

It should be mentioned that menopausal patients are defined as those with bilateral oophorectomy,  $\ge 60$  years of age, or  $\le 60$  years of age with amenorrhea for 12 months or more in the absence of CT, tamoxifen or ovarian suppression and follicle-stimulating hormone (FSH) and estradiol levels within postmenopausal ranges. In case of being under treatment with tamoxifen and being  $\le 60$  years of age, FSH and estradiol serum levels within postmenopausal values are necessary. In women who are premenopausal at the start of CT, amenorrhea is not an indicator of menopausal status, which is why it is advisable to perform serial measurements of these hormonal levels before indicating an Al<sup>154-157</sup>.

Als are associated with a lower risk of breast cancer recurrence and contralateral breast cancer in comparison with placebo<sup>154-157</sup>.

# Adjuvant treatment with targeted therapies

In patients with tumors that exhibit HER2 neu overexpression +++ by immunohistochemistry or + by fluorescence *in situ* hybridization, the use of the monoclonal antibody trastuzumab, in combination with adjuvant CT, has allowed obtaining a benefit in both relapse-free survival (RFS) (HR: 0.62) and OS (HR: 0.66)<sup>158-160</sup>.

Starting adjuvant trastuzumab together with taxane-based CT after the use of anthracyclines is recommended, given that this sequence has been shown to be useful and safe<sup>161</sup>.

Simultaneous administration of trastuzumab with anthracyclines is not recommended, given that it increases cardiotoxicity.

The TCH regimen (docetaxel, carboplatin and trastuzumab) for six cycles, without the use of anthracyclines, should be considered in patients at high risk of cardiovascular disease (history of heart failure, older age, hypertension, obesity or previous use of anthracyclines)<sup>162,163</sup>. Currently, the duration of adjuvant treatment with trastuzumab is recommended to be 1 year, since administration for less or more time has so far not demonstrated better results<sup>164-166</sup>.

In selected cases with negative lymph nodes and small tumors (< 3 cm), the weekly paclitaxel + trastuzumab regimen for 12 weeks, followed by trastuzumab every three weeks, until completing 1 year, may be an option<sup>167</sup>.

Patients receiving trastuzumab or any anti-HER2 therapy should be carefully evaluated due to the risk of cardiotoxicity, especially those with a personal history of cardiac disease or high risk. Left ventricular ejection fraction should be evaluated before starting this agent, every 12 weeks, and at treatment completion. All patients receiving this drug should be monitored by echo-cardiography to early detect ventricular function decrease (Table 10).

Due to a RFS increase in patients with positive lymph nodes, the use of adjuvant dual anti-HER2 blockade (trastuzumab + pertuzumab) is currently recommended<sup>168-170</sup>.

In high-risk cases of HER2-positive and estrogen receptor (ER)-positive patients, extended therapy with oral neratinib can be used for 1 year at the completion of adjuvant trastuzumab (they may have received neo-adjuvant pertuzumab). This strategy entails a RFS and OS benefit<sup>171-173</sup>.

Due to higher rates of RFS and OS in patients with BRCA germline mutation (pathogenic variant) and negative HER2, considered at high risk according to the OlympiA trial, oral olaparib can be used for 1 year after conventional treatment<sup>174,175</sup>.

# Genomic profiles and systemic adjuvant therapy

Genomic profiling tests can be used to support prognosis and/or in decision-making for administering systemic adjuvant treatment in patients with ER/ progesterone receptor (PR)-positive, HER2-negative tumors. They should not be used in patients with triple-negative or HER2-positive tumors. The recommendations for the use of the four molecular signatures available in Mexico (Oncotype DX®, MammaPrint®, EndoPredict® and PAM50®) are outlined below.

# **Oncotype DX®**

Test involving 21 genes with prognostic and predictive value, with broad validation and in which a

### Table 10. Restriction dose to healthy organs

LVEF absolute decrease					
< 10% 10-15% > 15%					
Normal LVEF	Continue	Continue	Discontinue		
1-5% below LVEF NL	Continue	Continue	Discontinue		
> 5% below LVEF NL	Discontinue	Discontinue	Discontinue		

LVEF: left ventricular ejection fraction; NL: normal limit.

recurrence score is generated according to the expression of each one of the genes. It is recommended in the following cases:

- Postmenopausal women with T1b/c or T2, N0, HR-positive, HER2-negative disease or T1-3, N1 (1-3 lymph nodes), HR-positive, HER2-negative disease. In case of a recurrence score < 26, only endocrine therapy is recommended, and with a score > 26, endocrine therapy + adjuvant CT is recommended.
- Premenopausal women with T1b/c or T2, N0, HR-positive, HER2-negative tumors. In case of a recurrence score < 16 there is no benefit from adding CT to endocrine therapy; from 16 to 25, consider adding adjuvant CT followed by endocrine therapy due to a small benefit in terms of distant recurrence (it cannot be ruled out that the CT effect is due to ovarian suppression) or, alternatively, ovarian suppression combined with tamoxifen or Al<sup>176</sup>.

# MammaPrint®

Seventy-gene test that has prognostic utility whereby a result regarded as low or high genomic risk is generated. It is recommended in postmenopausal patients with HR-positive, HER2-negative tumors, N0 and high clinical risk (> 3 cm; > 2 cm moderately or poorly differentiated; > 1 cm poorly differentiated). In patients with a low genomic risk result, endocrine therapy without CT is recommended. It can be used in patients with positive HR, one to three positive lymph nodes and high clinical risk (> 2 cm; or moderately/poorly differentiated). In patients with positive lymph nodes and low genomic risk, the benefit of adjuvant CT in terms of metastasis-free survival is limited<sup>177</sup>.

# **EndoPredict®**

Twelve-gene test that can be used in patients with HR-positive, HER2-negative T1-2, and lymph

node-negative tumors. Patients with a low risk score (< 3.3287) have a prognosis similar to T1a-T1b N0 M0, with a 10-year distant recurrence rate of 4%. Patients with 1-2 positive lymph nodes and a low risk score have a 5.6% likelihood of 10-year distant recurrence<sup>178,179</sup>.

# PAM50 Prosigna®

It can be used in patients with HR-positive, HER2-negative, T1 or T2, lymph node-negative disease. Patients with a low recurrence score (0-40) have a prognosis similar to T1a-T1b N0 M0. Patients with 1-3 positive lymph nodes and a low recurrence score have a risk of 10-year distant recurrence lower than 3.5% if treated with endocrine therapy alone<sup>180</sup>.

# Postoperative RT for early breast cancer

# Invasive cancer

BCS with RT is superior to mastectomy in terms of local control and OS<sup>181</sup>. Timely access to this treatment should be a priority for doctors and authorities (Table 11)<sup>182-184</sup>.

In case of BCS, the procedure consists of irradiating the entire gland. Moderate hypofractionation is standard regardless of age, tumor size and biology, surgical margin status, breast volume, use of systemic therapy or oncoplastic surgery<sup>185</sup>. It involves a smaller number of sessions with a dose higher than 2 Gy. The percentages of local and regional recurrence, DFS and OS, as well as cosmetic results and adverse effects are equivalent to those of the 50-Gy conventional fractionation in 25 fractions that is currently used at the discretion of the treating radiation oncologist. A dose of 40 Gy in 15 fractions or 42.5 Gy in 16 fractions is prescribed. Priority will be given to surgical bed coverage and dosimetric restriction of organs at risk. There is no restriction for photon energy. Ultra-hypofractionation with 26 Gy in 5 fractions offers a local control that is equivalent to moderate hypofractionation, which is why it could be an option as long as at least 3D RT is used with strict adherence to dose restrictions, dosimetric quality control and daily imaging verification<sup>185</sup>.

# Accelerated partial breast irradiation

It consists of exclusive irradiation of the surgical bed during or after BCS. OS, specific cause, local control, freedom from distant metastasis, and percentage of conversion to mastectomy are similar to total breast

# Table 11. Ideal timing for adjuvant treatment with radiotherapy

Event	Timing
Surgery without chemotherapy	Before 8 weeks
Neoadjuvant chemotherapy and surgery	Before 30 days
Surgery and adjuvant chemotherapy	Within the first 30 days after chemotherapy conclusion and at<7 months from surgery

irradiation with 3D conformal RT<sup>186</sup>. Partial irradiation techniques include intraoperative RT, brachytherapy, and external conformal or intensity-modulated RT; each one with its respective dosimetric specifications and prescription regimens<sup>187</sup>. Outside of a clinical trial, candidates for partial breast irradiation include those aged  $\geq$  50 years, luminal subtype,  $\leq$  3 cm, absence of lymphovascular invasion, grade 1-2 invasive carcinoma, low-intermediate grade DCIS ( $\leq 2.5$  cm with  $\geq 3$ -mm margins), unicentric or unifocal lesion, negative margins (> 2 mm), negative lymph nodes (including isolated tumor cells), with no use of systemic therapy or neoadjuvant CT. When taking this modality into account, internationally validated regimens are to be used<sup>187</sup>. It is not used in lobular carcinoma, lymphovascular invasion, in people aged < 45 years or in hereditary breast cancer<sup>188</sup>.

# Genomic signatures and postoperative RT

Although genetic panels influence systemic treatment decisions in people with breast cancer, their use for guiding the RT decision is not yet recommended<sup>185</sup>.

# Surgical bed boost

It improves local control in patients at high risk of recurrence. The dose is 10-16 Gy. There is no uniform consensus on which patients should receive it. Usual indications are: people aged < 50 years with BCS and any tumor grade or molecular subtype. Patients aged 51-70 years with high-grade, triple negative or pure HER2 tumors or inked margins. Results from randomized clinical trials are awaited to define the indications for this procedure<sup>189-191</sup>. If BCS has been performed with multiple resection (up to three tumors), all tumors surgical beds should be irradiated.

# Nodal irradiation in the setting of conservative surgery and axillary lymphadenectomy

It is offered in an individual context for N patients with risk factors: no neoadjuvant CT, high-grade tumors, aggressive histological types, ER (–), triple-negative, pT3, medial or central tumors. In N+ patients, lymph node RT is offered after an axillary lymphadenectomy, taking risk factors for recurrence into account, in addition to the number of lymph nodes<sup>192-194</sup>.

# Nodal irradiation in the setting of conservative surgery and positive SLN

In patients with positive SLN with micrometastasis or macrometastasis, complementary axillary dissection is not recommended if the ACOSOG Z0011 trial criteria are met (T1-2, cN0, M0, BCS, < 2 SLN+); instead, RT is offered. In cases of extracapsular extension or > 3 positive SLNs, axillary dissection plus RT is the ideal procedure<sup>192-194</sup>.

# **Conservative surgery and RT omission**

This decision is valid as long as postoperative endocrine therapy is offered and long-term risks of recurrence are informed. RT might be omitted in patients aged > 65 years, with axillary lymphadenectomy, lymph node sampling or SLN biopsy, T1-T2 N0, ER (+), HER2–, negative margins, G1-2, G3 or lymphovascular invasion (but not both). In patients with intolerance to medications or poor treatment adherence, postoperative RT must be strongly advised within a period of no more than 6 months<sup>195</sup>.

# RT in the setting of mastectomy and N0 early stages

RT to the chest wall should be individualized in people without lymph node invasion, but at high risk of locoregional recurrence.

Premenopausal women with > 2 risk factors or postmenopausal patients with > 3 risk factors such as lymphovascular invasion, medial location, tumor size > 2 cm, poorly differentiated tumors, positive margins, no systemic treatment and aggressive molecular subtypes such as pure HER2 or triple-negative tumors. After SSM or skin and NAC-sparing mastectomy, RT could be considered in patients aged < 50 years with positive margins or high-grade tumors<sup>196</sup>.

# **RT** in special situations

# Young patients

In patients aged < 45 years, moderate hypofractionation RT to the breast and lymph node areas is an option. A concomitant or sequential boost should be administered. Although there is recent information that suggests that it is possible to omit the boost in case of complete response to neoadjuvant CT or HR+, it cannot yet be regarded as standard<sup>197</sup>. Accelerated partial breast irradiation is not recommended in this group<sup>185,198</sup>.

# Patients with pathogenic genetic variants

Cancer patients genetic screening has enabled to consider the possible implications of RT in local control, treatment toxicity and risk of second tumors in carriers of hereditary syndromes<sup>18</sup>. The radiation oncologist must be familiar with the possible radiosensitivity of patients with hereditary breast cancer. For patients with low penetrance genes and variants of uncertain significance, management with RT is offered as to the rest of people with breast cancer (Table 12)<sup>199-205</sup>.

# **RT-systemic treatment interactions**

The combination of new treatments with RT is complex due to the multiple factors involved. Both the technique and the dose and fractionation to be used can have a significant impact on clinical outcomes (Table 13)<sup>206-209</sup>.

# **Preoperative RT**

It is used in patients with aggressive molecular subtypes such as HER2-positive/triple negative or in case of local progression<sup>210</sup>. Conventional fractionation or moderate hypofractionation is used<sup>211</sup>. Modern series report no OS benefit when compared to patients who received postoperative RT, which is why it should be used with caution<sup>211</sup>.

# Locoregional recurrence and RT

In cases of single local recurrence with or without surgical management with or without a history of RT, local control with RT can be evaluated taking previous dose into account, as well as the site to be irradiated, and the radiation dose received by surrounding organs<sup>212,213</sup>. It can also be used for re-irradiation of

Table 12.	Most frequent pathogenic variants a	and
approach	with radiotherapy	

High penetrance genes					
BRCA1/BRCA2, PTEN, STK11, CDH1, PALB2	No contraindication for RT. Partial breast irradiation is not recommended				
TP53	Minimize exposure to ionizing radiation whenever possible. Radical mastectomy is preferred to avoid RT. When the risk of tumor progression or recurrence warrants RT, conventional and non-hypofractionated schemes should be used, using 3D RT and not intensity modulated techniques or stereotaxy. Re-irradiation is not recommended.				
Genes of moderate penetrance					
CHEK2	No contraindication for RT. Partial breast irradiation is not recommended.				
ATM	Possible increased risk of acute and late toxicity from RT, ultrahypofractionation not offered. They merit close follow-up in consultation.				

RT: radiotherapy.

unresectable disease for local control and palliation of symptoms such as bleeding or pain<sup>214</sup>.

# **RT and pregnancy**

Treatment with RT is contraindicated throughout pregnancy due to its teratogenicity, induction of malignant neoplasms and hematological alterations. Breastfeeding is feasible using the contralateral breast<sup>215,216</sup>.

# **RT** annexes

# Neoadjuvant management

Although neoadjuvant modalities were initially used for the treatment of tumors at locally advanced stages, currently, this therapeutic modality is also used in patients with tumors regarded as operable, > 2 cm and/or with positive lymph nodes, which is why this chapter comprises the treatment of stage III breast carcinomas and, in certain cases, of tumors at IIA/ IIB stages or T2-3 N0 M0, and T1-2, N1 M0<sup>215</sup>, especially the HER2-positive or triple-negative subtypes<sup>216</sup>. Initial approach to these patients should include:

- Clinical evaluation.
- Bilateral mammography and breast and axillary ultrasound; MRI in indicated cases.
- Core needle biopsy of the primary tumor and fine needle aspiration biopsy of axillary lymph nodes.
- Complete histological study, which should include HR, HER2 neu and Ki67 determination.
- Imaging studies of potentially metastatic sites using chest computed tomography (CT), abdominal ultrasound or CT, bone scan (the latter for patients with stage III tumors). Positron-emission tomography (PET-CT) with 18-fluorodeoxyglucose (18-FDG) is an alternative for staging.

The following is also suggested:

- Placement of a radiopaque clip in the tumor and suspicious lymph nodes (less than three) in patients who are candidates for BCS and/or SLN procedures<sup>3,217</sup>. In case of multicentric disease (up to three lesions with ≥ 2-cm separation), placing a clip in all tumors is recommended.
- Determination of a monogenic (BRCA) or multigenic panel in patients with triple-negative tumors or suspicion of hereditary cancer<sup>218</sup>.
- In premenopausal women, consider the possibility of using gonadotropin-releasing hormone analogues to preserve fertility and/or ovarian function and timely reference to the reproductive biology department<sup>219</sup>.

The therapeutic proposal should be defined by the multidisciplinary medical group and will be based on each patient's characteristics (age, menstrual status, concomitant diseases, preferences, presence of pathogenic variants in susceptibility genes, etc.), clinical stage of the disease and primary tumor histological and immunohistochemical variables.

In spite of the patient having a tumor at locally advanced clinical stage, initial surgery may be recommended when the following circumstances are met: the disease is technically resectable, in tumors with favorable histological types (e.g., well-differentiated tumors, mucinous, neuroendocrine, metaplastic or tubular histology, positive RH with high titers associated with Ki67 expression < 10% and negative HER2) or low probability of response to CT with high risk of toxicity<sup>215</sup>, or when the BCS option is not desired by the patient.

# Benefits of neoadjuvant CT

- Allows locoregional breast and axillary surgical management de-escalation<sup>220</sup>.
- Allows evaluating chemosensitivity in vivo.

•	.,
Selective estrogen receptor modulators	Concomitant administration of tamoxifen with RT is possible, there is no toxicity increase. Usual practice is prescription after radiotherapy to facilitate adherence to both treatments
Aromatase inhibitors	Concomitant administration with RT is possible
Selective estrogen receptor degraders	There is insufficient information to support concomitant use of fulvestrant with RT and it is not recommended
Anthracyclines	Caution: acute skin toxicity increase and risk of cardiac toxicity with concomitant RT use
Taxanes	Caution: acute skin and lung toxicity increase with concomitant RT use
Platinum salts and capecitabine	Concomitant administration with RT is possible. Caution with hypofractionation. Individualize cases
Anti-HER2 therapies	Trastuzumab and pertuzumab can be used together with RT. Caution with TDM-1
CDK4/6 inhibitors	Discontinue treatment 5-7 days before and after offering RT
Tyrosine kinase inhibitors	Lapatinib concomitant administration with RT is possible
VEGF inhibitors	Bevacizumab concomitant administration with RT is possible
Anti-CTLA-4 antibodies and anti-PD-1/PD-L1 agents	Concomitant administration with RT is possible. With SBRT, a greater effect has been observed if administered 3-5 weeks before immunotherapy
PARP inhibitors	There is insufficient data to support olaparib or talazoparib concomitant use with RT and it is not recommended.
PI3K/AKT/mTOR inhibitors	Contraindicated with any RT technique

Table 13.	Drugs	and their	interactions	with	radiotherapy

RT: radiotherapy; TDM-1: trastuzumab+emtansine; SBRT: stereotactic body radiation therapy.

- Allows evaluating new treatment regimens (de-escalation) or incorporating new medications.
- Allows evaluating pathological response.
- Allows pCR to be evaluated, defined as ypT0/is, ypN0.
- This outcome is associated with a better prognosis (HR for DFS: 0.48, 95% confidence interval [CI]: 0.43-0.54 and for OS: 0.36, 95% CI: 0.30-0.44).
- It allows adjuvant treatment to be individualized based on initial response to CT<sup>221,222</sup>.

# Disadvantages of neoadjuvant CT

- Loss of initial staging information.
- Possibility of overtreatment, if the decision is based on incomplete information (e.g., the lesion size may be overestimated due to the association of carcinoma *in situ* observed by imaging).
- Disease progression, which can occur in 2% of cases.
- It is important to highlight that, before neoadjuvant treatment, the number of lesions, their location, distance from the skin and chest wall, as well as extension toward the nipple, should be documented and recorded.

# Neoadjuvant CT and targeted therapies

The recommended neoadjuvant treatment is based on 6-8 cycles of CT, since they are associated with higher possibilities of pCR<sup>221-223</sup>. The main recommended regimens are specified in table 1<sup>224</sup> and must be adjusted to the tumor phenotype.

As for HER2-positive tumors, dual HER2 blockade therapy based on combinations with lapatinib, neratinib, or TDM-1 (trastuzumab + emtansine) is not recommended.

# Inflammatory breast cancer

Inflammatory breast cancer should be treated with neoadjuvant CT (plus trastuzumab/pertuzumab in tumors with HER2 neu overexpression). Based on the response to systemic treatment, locoregional management with modified radical mastectomy and postoperative RT should be evaluated. If the response to neoadjuvant CT is poor and the tumor is not resectable, RT followed by radical surgery may be considered.

# **Neoadjuvant HT**

Neoadjuvant HT is recommended for postmenopausal women with positive HR and negative HER2, without a clear indication for CT, and in cases in which tumor size reduction is required, or in patients in whom CT toxicity is unacceptable or who have multiple comorbidities. The objective is to increase the possibility of tumor resection and/or BCS.

The use of neoadjuvant endocrine therapy has been associated with pCR rates of 14%, with a high probability for BCS to be performed<sup>225-227</sup>.

The use of an AI is recommended. After starting HT, if an objective response is obtained, continuing it for at least 4-8 months is recommended, followed by local surgical treatment. Continuing with HT or adjuvant CT will be considered according to the pathological response and patient conditions<sup>220,222</sup>.

The use of CDK4/6 inhibitors in combination with AI in the neoadjuvant setting is not indicated.

# Response evaluation during neoadjuvant treatment

Clinical response should be evaluated after each CT cycle. In cases with stable disease and/or progression, radiological correlation is suggested; the recommended methods are breast ultrasound and/or mammography with or without tomosynthesis<sup>228</sup>. If disease progression is confirmed, changing the systemic treatment regimen and considering local control with surgery and/or RT is recommended.

If there is partial or complete clinical response, neoadjuvant treatment should be continued until its completion.

Although the use of MRI or <sup>18</sup>F-FDG PET-CT has been shown to help evaluate the clinical response and its correlation with pathological response, these procedures are, thus far, not mandatory<sup>136,229</sup>.

Fragmented response is particularly difficult to deal with, since only in 65% of cases a reduction of more than 50% in lesion size is observed; this should be individually evaluated and probably consider the use of oncoplastic surgery to ensure a negative margin<sup>230</sup>.

# Treatment after neoadjuvant therapy

# Surgical treatment

Current trend in surgery is to achieve a good oncological result, reducing its extent and morbidity; the performance of a breast-conserving and SLN surgery, instead of mastectomy and elective axillary dissections, is an example of this trend. Neoadjuvant treatment allows the possibility of BCS to be increased; however, this only happens with proper planning of the procedure to be performed. Patients considered for neoadjuvant treatment should be evaluated by the multidisciplinary team before the start of treatment. Important strategies in surgery planning include marking of the primary lesion and, ideally, of compromised axillary lymph nodes with radiopaque clips before neoadjuvant treatment and the decision on the type of study to be performed for assessing the response to systemic treatment. BCS after neoadjuvant treatment has shown the same results in terms of OS and disease-free period in comparison with total mastectomy<sup>216</sup>.

The process to be followed for surgery planning is similar to that for primary surgical treatment. Non-palpable lesions should be preoperatively located; this can be carried out with harpoon-shaped guided wires or with radioactive material. After a clinical or radiological complete response, the area with the clip should be resected with a portion of surrounding tissue, without the need to broaden the area where the lesion was initially located. An imaging study of the resected tissue should be performed to confirm the presence of residual lesion and/or pretreatment marking (clip)<sup>231</sup>. Considering all subtypes, the possibility of being eligible for BCS after neoadjuvant CT is 69-87%, and with neoadjuvant endocrine therapy, it has been of up to 77%<sup>228,232</sup>.

In case the requirements for BCS are not met, total mastectomy should be performed. Management of the axilla is independent of breast management.

Initial oncological management in locally advanced breast cancer is with neoadjuvant systemic treatment; however, in those histological types where there is no response, or the response is very low or uncertain, starting with surgical treatment can be considered as long as the disease is resectable and an R0 surgery is obtained. Such is the case with metaplastic carcinoma, lobular carcinoma and rare histopathological lineages such as mucinous, tubular, papillary, adenoid cystic, secretory and neuroendocrine carcinomas.

As for the influence of progression on surgical treatment, this condition has not been reported to generate significant changes in the type of surgery<sup>233</sup>. However, given the conditions in our environment, if appropriate systemic treatment is not available, local control of the primary tumor will have to be considered as long as obtaining tumor-free margins is ensured. The recommended surgery is modified radical mastectomy.

# Adjuvant systemic treatment

The type and duration of adjuvant treatment will be based on the pathological response achieved. For hormone-sensitive tumors, the therapeutic decision is independent of the pathological response obtained; however, for HER2 and triple-negative subtypes, the recommendations are described in the adjuvant treatment part of the early breast cancer section.

Table 2 describes in detail the management approaches, according to the response obtained with neoadjuvant systemic treatment.

# RT

# **Postoperative RT**

In patients undergoing BCS or radical mastectomy, RT is administered in those with a high risk of locoregional recurrence, namely: four or more positive lymph nodes (N2), T3-4, N0, patients at clinical stage III (tumor > 5 cm and > 1 positive lymph node), positive margins and mastectomized patients with 1-3 positive lymph nodes137. RT is administered to the breast/chest wall, lymph node regions (axillary, supraclavicular and infraclavicular, and internal mammary chain). Its indication is independent of the response to neoadjuvant CT and should be offered based on clinical stage at diagnosis. The standard dose to the chest wall and lymph node-bearing areas is 50 Gy. In the context of a mastectomy with one or two positive SLNs, axillary RT in addition to irradiation to the chest wall is offered as an alternative to lymphadenectomy. In case of a positive margin that is not amenable to resection, administering a RT boost to the costal wall is recommended<sup>174</sup>.

# Postmastectomy hypofractionated RT

Postmastectomy RT with moderate hypofractionation has similar efficacy and toxicity to conventional fractionation, which is why its use is increasingly common, as long as at least 3D conformal RT is administered with strict adherence to dose restrictions, dosimetric quality control, and daily imaging verification<sup>234,235</sup>.

# RT in patients with tissue expander or prosthetic implant reconstruction

At this moment, ultra-hypofractionated RT cannot be recommended in patients with immediate reconstruction. In case of expander or implant placement, RT can be started within the first 3-6 weeks if adjuvant CT is not administered and 6-8 weeks after autologous reconstruction. Changes in expander volume should be avoided during RT. The ideal timing for late autologous reconstruction or expander exchange for a permanent implant is between 6 and 12 months after post-mastectomy RT<sup>236,237</sup>.

# Inflammatory disease

Preoperatively, RT is used when resection with negative margins after neoadjuvant surgery is not feasible. The irradiation field should include the breast, supraclavicular and infraclavicular region, internal mammary chain and axillary region lymph nodes, bolus administration area and 50-60-Gy dose<sup>238</sup>.

After radical mastectomy, the irradiation field should include the chest wall with the use of bolus to the skin, in addition to the axilla and supraclavicular and infraclavicular regions with a 50-Gy dose in 25 fractions. The benefit of a boost at 60-66 Gy appears to be greater in patients who do not achieve a complete pathological response with neoadjuvant therapy, with positive margins, > 4 positive lymph nodes, and in young patients<sup>239</sup>.

# Indications for the use of modern techniques

Current irradiation techniques optimize the dose to the target volume and decrease the amount of radiation to healthy tissues.

### Conclusions

Neoadjuvant treatment and locoregional treatment, as well as axillary evaluation with RT and surgery in breast cancer, have undergone significant advances, driven by an increasingly deeper understanding of tumor biology, targeted therapies, biomarkers, genetics, and genomics. The following conclusions are derived from an exhaustive review of the medical literature and current clinical trends in this highly specialized field.

# Treatment individualization

Based on clinical risk, as well as on the use of biomarkers, the work of the multidisciplinary group should be strengthened and stimulated; medical practice in the era of precision medicine has reached breast cancer. Patient stratification according to biomarkers such as ER, PR, and HER2, together with the incorporation of genomic tests, allows the adaptation of specific neoadjuvant regimens, optimizing efficacy, and reducing toxicity.

# pCR as a prognostic indicator

The achievement of a pCR, defined as an absence of viable tumor cells in the breast and axillary lymph nodes after neoadjuvant treatment, has become established as a crucial prognostic marker. Patients who achieve a pCR experience improved survival rates and reduced recurrence rates.

# Focus on reducing treatments

The success of multimodal treatment and sequential changes, as it occurs in neoadjuvant therapy, has allowed a significant expansion of breast-conserving surgeries and to de-escalate the approach in axillary evaluation, with more functional dissections and less morbidity. In combination with an expansion in patient indications for RT, and increasingly promoting hypofractionation and different application modalities, it has allowed a reduction in locoregional treatment side effects with great impact on long-term quality of life of patients previously carefully selected and now with more evidence for including more patients.

# Axillary de-escalation

Axillary de-escalation has gained relevance in the therapeutic strategy of breast cancer. Accurate identification of patients with axillary lymph node involvement and administration of selective therapies, as well as lymph node selection for obtaining prognostic information and axillary RT, reduces the morbidity associated with complete axillary dissection, with higher functionality being achieved without compromising oncological objectives.

# Advanced surgical techniques and breast reconstruction

Oncoplastic surgery, along with immediate breast reconstruction, has considerably advanced, allowing the preservation of breast esthetics and faster recovery. NAC preservation techniques have been perfected.

# Intraoperative margin evaluation

Incorporating intraoperative technologies, such as breast X-ray and ultrasound before and during surgery,

improves accuracy in the assessment of surgical margins. Ensuring negative margins is essential for preventing local recurrence.

# Personalized adjuvant therapy

After surgery, adjuvant therapy is based on a comprehensive evaluation of individual factors, including tumor subtype, pathological stage, and recurrence risk. HT, CT, and targeted therapies are an integral part of the treatment strategy.

In summary, the paradigm of neoadjuvant treatment and surgery in breast cancer has been transformed into a highly personalized and biomarker-based approach. Achievement of a pCR and quality of life preservation are essential objectives in this new era of breast oncology. As breast cancer molecular characterization advances, it is imperative that medical professionals adapt these conclusions to clinical practice to improve patient outcomes and quality of life.

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# **Conflict of interests**

The authors declare that they have no conflicts of interest.

# **Ethical disclosures**

**Protection of human and animal subjects.** The authors declare that no experiments have been carried out on humans or animals for this research.

**Confidentiality of data.** The authors declare that no patient data appear in this article. In addition, the authors have recognized and followed the recommendations according to the SAGER guidelines depending on the type and nature of the study.

**Right to privacy and informed consent.** The authors declare that no patient data appear in this article.

Use of artificial intelligence for text generation. The authors declare that they have not used any type of generative artificial intelligence for the writing of this manuscript, nor for the creation of images, graphics, tables, or their corresponding captions.

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